# Robust Conversion from Matrix to Axis Angle Form

#### by Fan Jiang

## 1 Introduction

Robust conversion from matrix to axis-angle form is not trivial because the transform is plagued with numeric instabilities. In this document we will review the normal form of such a conversion, and provide detailed instructions on how to properly do it.

### 1.1 Basic Conversion

It is known that the conversion from a SO(3) matrix can be written as

**Theorem 1.** The rotation angle  $\theta$  can be calculated as

$$\theta = \arccos\left(\frac{\operatorname{tr}(R) - 1}{2}\right) \tag{1}$$

where tr(R) is the trace of the rotation matrix.

As such, the normalized rotation axis is:

**Theorem 2.** The normalized rotation axis for a rotation matrix R is

$$\omega = \frac{\theta}{2\sin(\theta)} \begin{pmatrix} R_{3,2} - R_{2,3} \\ R_{1,3} - R_{3,1} \\ R_{2,1} - R_{1,2} \end{pmatrix}$$
(2)

where  $R_{i,j}$  are the elements in the matrix.

## 2 Problem

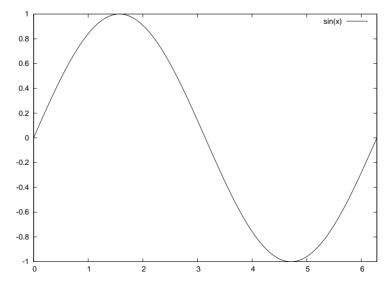


Figure 1.  $\sin(x)$ 

It's pretty straightforward that when  $\theta \to 0 + k\pi$ , (2) will not work because  $\sin(\theta)$  will be 0. From (1) we know that, since  $\theta = k\pi$ ,

$$\operatorname{arccos}\left(\frac{\operatorname{tr}(R) - 1}{2}\right) = k\pi$$
$$\frac{1}{2}(\operatorname{tr}(R) - 1) = \pm 1$$
$$\operatorname{tr}(R) = 1 \pm 2 \tag{3}$$

Thus we know that there are two singular points, -1 and 3, for the axis-angle conversion. Next thing is how to deal with these two points.

### 3 Properties of the SO(3) transform at the singular points

### 3.1 $\theta \rightarrow 0$

For  $\theta = 0$  (tr(R) = 3) the solution is simple: We simply take the Taylor expansion of

$$\frac{\theta}{2\sin(\theta)}$$

at  $\theta \rightarrow 0$ . Note this is not a simple Taylor expansion, but a limit. We can do this in Maxima:

Maxima 5.45.1 https://maxima.sourceforge.io using Lisp SBCL 2.1.9 Distributed under the GNU Public License. See the file COPYING. Dedicated to the memory of William Schelter. The function bug\_report() provides bug reporting information.

(%i1)  $\theta: \operatorname{acos}\left(\frac{t-1}{2}\right)$ (%01)  $\arccos\left(\frac{t-1}{2}\right)$ (%i2) mag:  $\frac{\theta}{2\sin(\theta)}$  $\frac{\arccos\left(\frac{t-1}{2}\right)}{(t-1)^2}$ 

(%02) 
$$\frac{\arccos\left(\frac{1}{2}\right)}{2\sqrt{1-\frac{(t-1)}{4}}}$$

(%i3) taylor(mag, t, 3, 3)

(%o3) 
$$\frac{1}{2} - \frac{t-3}{12} + \frac{(t-3)^2}{60} - \frac{(t-3)^3}{280} + \cdots$$

Thus the solution around  $\theta \rightarrow 0$  is

$$\frac{1}{2} - \frac{t-3}{12} + \frac{(t-3)^2}{60} \tag{4}$$

### 3.2 $\theta \rightarrow \pi$

The solution becomes a lot more complicated when at  $\theta \to \pi$ . This is because at this extreme point you have two solutions: you can go from both left and right of the unit circle!

Note 3. The trace tr(R) = -1 is negative.

In this case we can first convert R to quaternion, which is done by

$$(w,v) = \begin{pmatrix} \frac{1}{2r}(R_{c,b} - R_{b,c}) \\ \frac{1}{2}r \\ \frac{1}{2r}(R_{a,b} + R_{b,a}) \\ \frac{1}{2r}(R_{c,a} + R_{a,c}) \end{pmatrix}$$
(5)

where  $r := \sqrt{1 + R_{a,a} - R_{b,b} - R_{c,c}}$ ,  $a := \arg \max_{i \in \{1,2,3\}} R_{i,i}$ ,  $b := (a+1) \mod 3$ ,  $c := (a+2) \mod 3$ . Normally we want the angle  $\theta \in [0, \pi]$ , thus we need to make sure that w > 0,

and now we can easily get the angle  $\theta$  as

$$2\operatorname{atan2}\left(\sqrt{q_x^2 + q_y^2 + q_z^2}, w\right) \tag{6}$$

and now the magnitude of the vector is

$$|v| := \frac{\theta}{\sin(\theta/2)} \tag{7}$$

However, in GTSAM, we do the following simplification to avoid doing the atan2 (expensive).

First let's set that  $q_x^2 + q_y^2 + q_z^2 + w^2 = a^2$ . Thus we have (around w = 0) this Taylor expansion:

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- (%i1)  $\theta: 2 \operatorname{atan2}\left(\sqrt{a^2 w^2}, w\right)$ (%o1)  $2 \operatorname{atan2}\left(\sqrt{a^2 - w^2}, w\right)$ (%i2)  $\operatorname{scale:} \theta / \sin(\theta / 2)$ (%o2)  $\frac{2 |a| \operatorname{atan2}\left(\sqrt{a^2 - w^2}, w\right)}{\sqrt{a^2 - w^2}}$ (%i3)  $\operatorname{taylor}(\operatorname{scale} w = 0, 3)$
- (%i3) taylor(scale, w, 0, 3)

(%o3) 
$$\frac{\pi |a|}{a} - \frac{2w}{a} + \frac{\pi w^2}{2a|a|} - \frac{4w^3}{3a^3} + \cdots$$

which indicates that we only need a correction term of  $\pi - \frac{2}{a}w$  to get the true magnitude near  $\pi$ .

#### 3.2.1 Verdict

In summary, the logmap (axis-angle form) can be computed as follows at tr(R) = -1.

First, we find the largest diagonal term  $a := \arg \max_{i \in \{1,2,3\}} R_{i,i}$ . This is required because we are approximately converting the matrix to quaternion form.

Now we calculate the axis-angle in two terms, scale and vector.

The scale is

$$\left(\pi - \frac{2}{a}w\right) \cdot \left(\frac{2}{r}\right) \tag{8}$$

and the vector is

$$v = \left(\begin{array}{c} r^2 \\ (R_{a,b} + R_{b,a}) \\ (R_{c,a} + R_{a,c}) \end{array}\right)$$

before permutation. (permutation is [a, a+1 mod 3, a+2 mod 3]) Since we calculate the unnormalized a a' in code:

$$2 a = \sqrt{4w^2 + (r)^2 + \left(\frac{1}{r}(R_{a,b} + R_{b,a})\right)^2 + \left(\frac{1}{r}(R_{c,a} + R_{a,c})\right)^2}$$
$$2 r a = \sqrt{((R_{c,b} - R_{b,c}))^2 + (2 + 2R_{a,a})^2 + ((R_{a,b} + R_{b,a}))^2 + ((R_{c,a} + R_{a,c}))^2} = a'$$

Thus

 $a\,{=}\,a'/(2\,r)$